

FY24 INTERNAL AUDIT PLAN

Department Scorecard

Projects		Status & % Complete	Additional Details
<u>FAA COMMITTEE & INTERNAL AUDIT CHARTER COMPLIANCE</u>			
1	Finance, Audit & Administration (FAA) Committee Meetings: 10/11; 11/8; 12/4; 1/17; 2/14; 3/18; 4/10; 5/8; 6/12; 7/10; 8/12; 9/11	In-Process	
2	Semi-annual Implementation Status Report - November 2023	Completed	December
3	Semi-annual Implementation Status Report - May 2024	Completed	June
4	FY2024 Risk Assessment & development of FY25 Audit Plan	In-Process	
<u>FY24 Audit Assurance & Advisory Projects</u>			
1	CapMetro/ATP Billing Processes		
2	Keolis Contract - Payroll Pass Through Controls	In-Process	UT Interns - May
3	Hexagon (Infor) Enterprise Asset Mgt System - post go live review	Completed	June
4	Paratransit & Demand Response Operations	In-Process	July
5	Analyze Oracle segregation of duties (using FastPath system)	In-Process	
6	MV Contract - Payroll Pass Through Charges	Completed	
7	Miscellaneous Revenue Controls (6 of 11 areas completed)	In-Process	5 In Process
8	Automate Incidents/Accidents Reporting (Bus - switch to TrackIt system)	In-Process	
9	GHG & Carbon Footprint Reporting	Completed	UT Interns - Jan
10	Sales Taxes - Revenue Controls	Completed	UT Interns - Dec
11	Investment Income - Revenue Controls	Completed	UT Interns - Dec
12	Watco Freight Revenue - Revenue Controls	Completed	UT Interns - Dec
13	GRC - Contract Performance Management System Advisory (Bus, Rail, DR) - Quadrennial Performance Audit recommendations	In-Process	2021 Follow-up on 8 Recommendations
14	GRC (Governance Risk & Compliance) System Implementation (Risk Register; Safety Dept; Internal Audit Dept; etc.)	Cancelled	No GRC System
15	Safety Management System (SMS) - Management of Change (Advisory)	In-Process	
16	Bus Charging Infrastructure & EV Program	In-Process	
17	AMP Cards & New Fare Systems		
18	United Healthcare & Other Self-Insured Benefits (TPA Payments)	In-Process	Rescoped to Eligibility & Pmts
19	McKalla Station - Design Build Effectiveness	In-Process	UT Interns - May
20	NIST Cybersecurity Framework (CISA Facilitated Self Assessment) - re-assessment from 2023 baseline	In-Process	CISA follow-up review (June/July)
21	Annual Cybersecurity Review (Mandiant)	In-Process	September? - Exec Session
22	Quadrennial Performance Audit - Selection Process but FY25 Budget/Execution	In-Process	RFP Released 6/13
<u>CONTINGENT AUDIT PROJECTS - FY24</u>			
1	Microsoft Sharepoint & Active Directory		
2	Salary Adjustment & Merit Process		
3	Rail-FRA PTC Change Management Requirements (Herzog)		
4	Board Policies/Goals - Monitoring & Reporting (e.g., OTP; Fare Recovery; DBE; Title 6 Equity Analysis; etc.)		
5	Capital Project Controls (McKalla Station; MetroRapid; DR N Facility Build;)		
6	Spare Labs.com - Demand Response System Implementation		
7	Lease Vehicle Program - non-Revenue Vehicles		

<u>NEW PROJECTS ADDED TO FY24 AUDIT PLAN by Terry Follmer</u>			
1	Miscellaneous Revenues - Tracking & Reporting on Implementation Status	In-Process	May;
2	Delegations of Authority - Advisory Project with Legal Department	In-Process	
3	RFP & Vendor Selection Observer - Demand Response	In-Process	July
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