

FY24 INTERNAL AUDIT PLAN

Department Scorecard

	Projects	Status & % Complete	Additional Details
	FAA COMMITTEE & INTERNAL AUDIT CHARTER COMPLIANCE		
1	Finance, Audit & Administration (FAA) Committee Meetings: 10/11; 11/8; 12/4; 1/17; 2/14; 3/18; 4/10; 5/8; 6/12; 7/10; 8/12; 9/11	Completed	Ongoing
2	Semi-annual Implementation Status Report - November 2023	Completed	December
3	Semi-annual Implementation Status Report - May 2024	Completed	June
4	FY2024 Risk Assessment & development of FY25 Audit Plan	Completed	October
	FY24 Audit Assurance & Advisory Projects		
1	CapMetro/ATP Billing Processes	Cancelled	
2	Keolis Contract - Payroll Pass Through Controls	In-Process	UT Interns - May
3	Hexagon (Infor) Enterprise Asset Mgt System - post go live review	Completed	June
4	Paratransit & Demand Response Operations	Completed	August
5	Analyze Oracle segregation of duties (using FastPath system)	In-Process	Carryforward FY25
6	MV Contract - Payroll Pass Through Charges	Completed	February
7	Miscellaneous Revenue Controls (9 of 11 areas completed)	Completed	2 In Process
8	Automate Incidents/Accidents Reporting (Bus - switch to TrackIt system)	In-Process	Carryforward FY25
9	GHG & Carbon Footprint Reporting	Completed	UT Interns - Jan
10	Sales Taxes - Revenue Controls	Completed	UT Interns - Dec
11	Investment Income - Revenue Controls	Completed	UT Interns - Dec
12	Watco Freight Revenue - Revenue Controls	Completed	UT Interns - Dec
13	2021 Quadrennial Performance Audit recommendations - Implementation Status on 8 recommendations	Completed	September
14	GRC (Governance Risk & Compliance) System Implementation (Risk Register; Safety Dept; Internal Audit Dept; etc.)	Cancelled	No GRC System
15	Safety Management System (SMS) - Management of Change (Advisory)	Draft Report	Carryforward FY25
16	Bus Charging Infrastructure & EV Program	In-Process	Carryforward FY25
17	AMP Cards & Administrative Charges	In-Process	Carryforward FY25
18	United Healthcare - rescoped to Eligibility Review & Fees/Expenses	In-Process	Carryforward FY25
19	McKalla Station - Design Build Effectiveness	Draft Report	Carryforward FY25
20	NIST Cybersecurity Framework (CISA Facilitated Self Assessment) - re-assessment from 2023 baseline	Completed	11/13/2024 Exec Session for Briefing
21	Annual Cybersecurity Review (Mandiant)	Completed	11/13/2024 Exec Session for Briefing
22	Quadrennial Performance Audit - Selection Process but FY25 Budget/Execution	Completed	September
	CONTINGENT AUDIT PROJECTS - FY24		
1	Microsoft Sharepoint & Active Directory		
2	Salary Adjustment & Merit Process		
3	Rail-FRA PTC Change Management Requirements (Herzog)		
4	Board Policies/Goals - Monitoring & Reporting (e.g., OTP; Fare Recovery; DBE; Title 6 Equity Analysis; etc.)		
5	Capital Project Controls (McKalla Station; MetroRapid; DR N Facility Build;)		
6	Spare Labs.com - Demand Response System Implementation		
7	Lease Vehicle Program - non-Revenue Vehicles		
	NEW PROJECTS ADDED TO FY24 AUDIT PLAN by Terry Follmer		
1	Miscellaneous Revenues - Tracking & Reporting on Implementation Status	Completed	May; October
2	Delegations of Authority - Advisory Project with Legal Department	In-Process	Carryforward FY25
3	RFP & Vendor Selection Observer - Demand Response	Completed	July
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